



**SWISS FTS**

Forensic Technology  
Solutions

A PRACTICAL CASE – EDISCOVERY

# SUSPICION OF MONEY LAUNDERING AND CORRUPTION



**A PRACTICAL CASE:**  
SUSPICION OF MONEY LAUNDERING AND CORRUPTION

During legal investigations the consistent and diligent handling of evidence is of the utmost importance. These principles also apply to eDiscovery, especially since seizing digital evidence entails certain additional challenges.

Not only must data be collected according to specific technical procedures, but its integrity has to be preserved and stand up to scrutiny in a court of law. Depending on the nature of the source data, it can be challenging for eDiscovery experts to unify and normalize relevant data from a multitude of sources.

**THE SITUATION**

The increasing amount of international regulation is putting financial institutions under continuous pressure to conduct internal investigations on their clients, particularly in suspected cases of money laundering and corruption. In these cases legally defensible processes are vital.

Enormous volumes of data have to be analyzed, such as in the presented case where ten years' worth of data from a financial institution were reviewed. The data consisted of close to 100 million documents in various formats (e-mails, Microsoft Office documents, etc.), and data from tens of thousands of financial transactions. The investigation focused on 155 employees from

this financial institution, and their customer relationships. Therefore, the time required and review complexity were extremely high, requiring expert know-how to achieve satisfying results.

**THE SWISS FTS APPROACH**

Due diligence is an important aspect with regard to suspected money laundering and corruption cases, since significant penalties might be imposed. Automated workflows for data processing and diligent documentation, along with a proactive approach, facilitate these cases.

Ensuring due diligence can be time-consuming and cost intensive if not done efficiently and effectively. Swiss FTS has developed procedures for more efficient data processing, reporting and quality assurance. Semi-automated data processing is implemented and supported by additional technologies which, combined with other customized reduction methods developed by Swiss FTS, allow for the best possible reduction of the data volume. Many advanced techniques are utilized such as Analytics, clustering and e-mail threading.

With these approaches, months of investigational time can be saved and the often very tight investigation deadlines can be met on or even ahead of time. This can significantly reduce the cost of the investigation. ■

**IMPORTANT ASPECTS**

**AUTOMATED PROCESS**

This enormous volume of data can only be processed efficiently using robust and highly automated processes.

**DOCUMENTATION**

Diligent and complete documentation and quality assurance of data processing must be ensured.

**PRECISE APPROACH**

Precise and diligent processes and documentation ensure comprehensibility and reproducibility.



**ABOUT SWISS FTS**

Founded: 2010  
Specialties: IT Forensics,  
eDiscovery, Information Governance  
[www.swiss-fts.com](http://www.swiss-fts.com)



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